

REGION 6 TRANSPORATION PLANNING WORK PROGRAM



**FINAL
FY 2021**

**Prepared by:
Region 6 Resource Partners
903 East Main Street
Marshalltown, Iowa 51058
www.region6resources.org**

**Current Update: April 30, 2020
Last Updated: April 1, 2019**

RESOLUTION

**A RESOLUTION TO APPROVE THE FY 2021 REGION 6 RESOURCE
PARTNERS TRANSPORTATION PLANNING WORK PROGRAM**

WHEREAS the Region 6 Resource Partners has prepared and amended the FY2021 Transportation Planning Work Program and received the input of the Region 6 Resource Partners Transportation Committee, and IDOT/FHWA/FTA.

WHEREAS the work program budget totals \$127,650, with a Region 6 Resource Partners \$25,530 match.

NOW, THEREFORE, BE IT RESOLVED BY THE REGION 6 RESOURCE
PARTNERS:

Section 1: The FY 2021 Transportation Improvements Program is hereby approved.

Approved this 29th day of June, 2020.



Jody Anderson, Chair

Attest:



Marty Wymore

Regional Planning Area

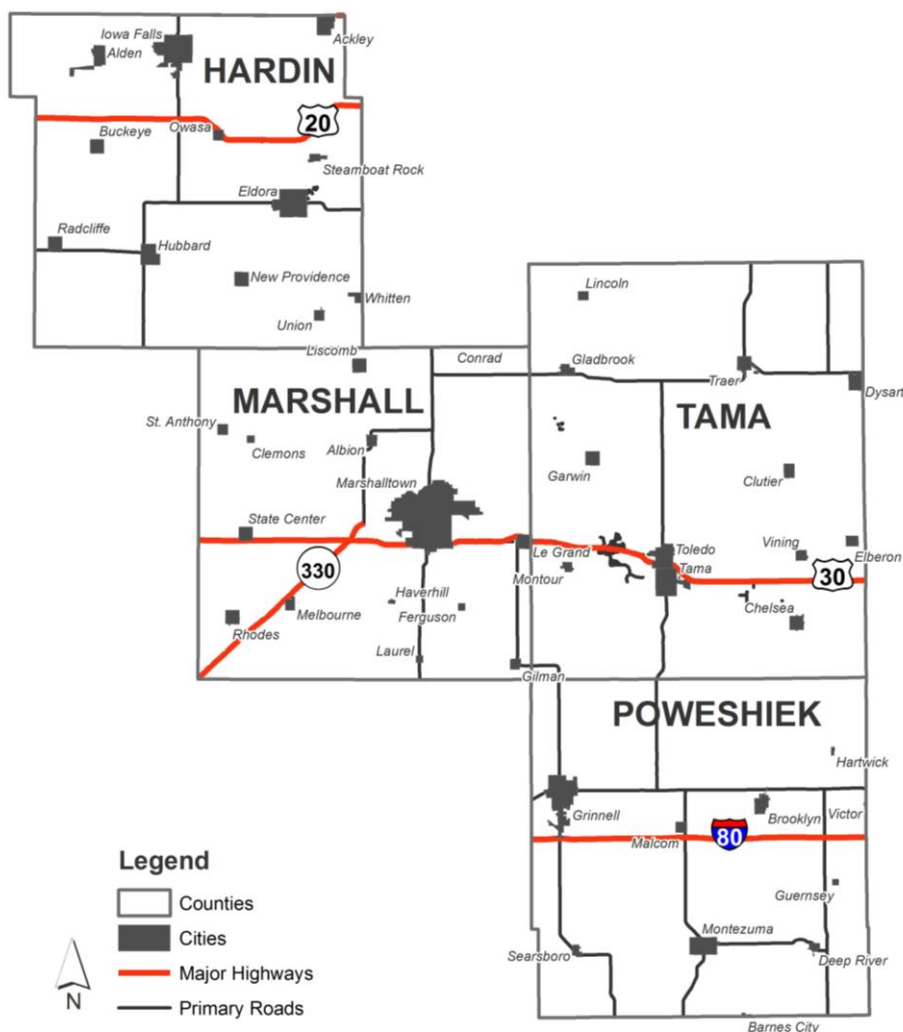
Region 6 Resource Partners serves Hardin, Marshall, Tama and Poweshiek Counties. The four cities over 5,000 in population within the region are Iowa Falls, Marshalltown, Grinnell and the combined cities of Tama and Toledo. At the time of the 2010 US Census, the total regional population was 94,963. This is a slight decrease from the 2000 Census.

The estimated population for this region according to the 2017 ACS is 94,863 people. In terms of population, Marshall County is by far the largest county in the region with a population of 40,476 followed by Poweshiek County. Tama, and Hardin Counties have nearly the same population at 17,236 and 17,239 respectively. When comparing regional population changes between the 2010 Census and 2017 ACS estimates, all counties in the region have experienced some level of population change in this time frame, resulting in a 1% population loss overall. The largest population loss in real numbers occurred in Poweshiek County with a 486 person loss followed by Hardin County with a 296 person loss.

The Meskwaki Settlement is the only Native American Settlement in the State of Iowa, and it is located within the territory of Region 6. The region has a higher percentage of elderly persons than elsewhere in the state.

A map of the regional transportation network is illustrated to the right. The roads on the federal highway system passing through the region are Highways 30, 330 and 20. Interstate 80 bisects the south end of the region.

The primary focus of transportation planning and resources in the region is the maintenance of the existing transportation system. Increasing efficiency and capacity to meet area needs are continuing challenges.



Especially in the most rural areas of the Region, someone without a driver's license or vehicle faces daily transportation challenges. The regional transit system is not designed to provide transportation for employment to non-disabled workers. For many disabled people, public transit is their only resource for transportation. However, the current system is expensive for non-locally supported rural rides. The federal transit assistance funds for transit services in Region 6 (Peoplerides and Marshalltown Municipal Transit) come from two federal transit assistance programs. FTA capital funds are not going to be readily available for vehicle replacements. Peoplerides may need to convert FTA operating funds to capital for vehicle replacements. Changes to the existing public transportation system will be needed to enable more people to access services and employment in the Region. Providing accessible and affordable transit options, and attracting people to use alternative modes, such as public transit, will be an ever-increasing challenge into the future.

The challenges of maintaining city streets and county roads and bridges are significant. The road use tax fund is not increasing at the level that costs are increasing. The federal transportation funding is also not increasing. The result will be a system that is unsafe and inefficient to move goods and services.

Incorporated cities across Region 6 are responsible for operating and maintaining 511 miles of roads, 89 of which are major roads that are eligible for federal aid assistance. If all the improvement work is limited to the federal aid system, that system could be resurfaced with current funding and expenditures about every 10 years. However, significant work is also needed on the local collector city streets. The local streets comprise 83% of the system. The surface of the federal aid network will be in very poor condition in 10 to 15 years.

Increasing the city general fund compensation area is not feasible. Improving the city road system in the future will likely require disinvesting in parts of the system, increasing property taxes, establishing special assessment districts, and/or increasing the gas taxes (federal and state). Counties across Region 6 are responsible for maintaining 4,062 miles of roads, 1,365 of which are federal aid eligible miles. These are generally the paved, county-maintained roads. There is not enough funding in the counties to meet bridge replacement needs.

The future challenges of maintaining the county secondary road system are significant and steep. The road use tax fund and farm to market account is not increasing. The federal transportation funding is also not increasing. Increasing the county secondary road fund is not feasible. The property tax growth due to rollbacks will not be significant. The only option for counties to significantly increase revenues is to rely upon the debt service fund for major improvement projects. Counties may need to resort to converting some hard surfaced roads to granular because there is not sufficient revenue.

I. Description of TPWP Development Process

Region 6 Resource Partners' Transportation Planning Work Program (TPWP) serves as a guide by which to carry out FAST ACT activities. This document contains descriptions of the organizational structure and committee members. It includes information about the four-county region and its demographics, a descriptive list of tasks, staff information, and budget documentation. Region 6 incorporates lessons learned from the previous years in its TPWP. This work program includes components as federal or state policy dictates. The Region 6 Policy Board provides input on the TPWP each year as well as all core planning documents and planning activities described in these documents.

All Region 6 planning documents are interrelated and overlapping, and all are developed with input by community members from Hardin, Marshall, Tama and Poweshiek Counties. In May of 2018, Region 6 Resource Partners completed and formally adopted a federal EDA Comprehensive Economic Development Strategy (CEDS), which included transportation planning as an integral part of the economic development strategy for the region. On February 24, 2014, a Long Range Transportation Plan 2014-2034 for Region 6 was formally adopted. The Long Range Transportation is currently being updated with a draft that is scheduled to be completed in the summer of 2020. The final version of the Region 6 Passenger Transportation Plan (PTP) was submitted to the Iowa DOT in May 2019. Implementation of the 2020-2024 PTP will continue in FY 2021 with attendance by the transit manager and other staff at meetings, forums, transportation summit, and other transit-related workshops and seminars.

Community participation in the preparation of all planning documents follows the Region 6 Public Participation Plan. The public participation process involves public hearings, committee meetings, local forums, in-person interviews/surveys, and activity-related focus group sessions. The Public Participation Plan is reviewed, revised if needed, and any adjustments are approved by the Region 6 Policy Board. Participation and input of key stakeholders are crucial to the process and the projects.

Public participation in the planning process is invited through publicizing notices of public hearings in local newspapers and on the information board at the Region 6 Resource Partners office. Press releases also are provided to local newspapers and radio stations. Input from all of these activities is assembled and documented by Region 6 Resource Partners staff. Public input is also sought through online and hard copies of surveys regarding specific issues and designed for development of specific plans. Surveys were included in the planning process for the CEDS, the Passenger Transportation Plan (PTP), and the Long Range Transportation Plan. All Region 6 planning documents are available for public review at the Region 6 Resource Partners office and posted on the Region 6 website at www.region6resources.org. Public participation activities are summarized in subsequent sections of this document.

Region 6 submitted a draft of the FY2021 TPWP to Iowa Department of Transportation, the Federal Transit Administration, and the Federal Highway Administration for comments. The comments of those agencies are addressed in the final TPWP, and the Region 6 Policy Board will review and adopt the FY2021 TPWP before submitting on or before June 1, 2020.

II. Region 6 Organizational Structure

The Region 6 Executive/Policy Board reflects the region's population and business demographics and is representative of the various objectives of Region 6: economic and community development, transit, housing, and transportation. The Region 6 Executive/Policy Board and Transportation Committee are outlined below. The Transportation Committee works under the Executive/Policy Board of Region 6, which is responsible for the day-to-day operation of the Commission. The Executive/Policy Board of Region 6 reviews the direction of the Transportation Committee and makes any necessary final actions.

Region 6 Executive/Policy Board

1. GOVERNMENT REPRESENTATIVES (51-65%)

Elected officials and/or employees of a general purpose unit of state, local or Indian tribal government who have been appointed to represent the government. Articles require seats noted below. 8 required (min).

NAME	GOVERNMENT	POSITION
Kendall Jordan	Tama County	Board of Supervisors
Lance Granzow	Hardin County	Board of Supervisors
Jason Roudabush	Poweshiek County	Board of Supervisors
Jody Anderson, Chair	City of Iowa Falls	City Administrator
Michelle Spohnheimer	City of Marshalltown	City Housing Administrator
Dan Agnew	City of Grinnell	Mayor
Trudi Scott	City of Gladbrook	City Council

2. NON-GOVERNMENT REPRESENTATIVES (35-49%)

5 required minimum. 1 minority rep strongly preferred (public or private).

- A. **Private Sector Representatives:** *Any senior management official or executive holding a key decision-making position, with respect to any for-profit enterprise. (At least one required).*

NAME	COMPANY/ENTERPRISE	POSITION
Mark Schoborg, Vice Chair	Self-Employed	Independent Business Owner
Dave Thompson, Secty/Treas	Thompson True Value & Marshall County Board of Supervisors	Owner
Roger Luehring	Clapsaddle-Garber Associates	Finance Manager

- B. **Stakeholder Organization Representatives:** *Executive directors of chambers of commerce, or representatives of institutions of post-secondary education, workforce development groups or labor groups. (At least one required).*

NAME	ORGANIZATION	POSITION
Mark Buschkamp	Iowa Falls Area Development Corporation	Director

Region 6 has a transportation advisory committee that operates under the Executive Board.

Transportation Committee

The purpose of the Transportation Committee is to plan and recommend programming of the Surface Transportation Block Grant (STBG) funds allocated for Region 6 counties. Three voting members from each of the four counties serve on the Committee. Peoplerides, the regional transit system operated by Region 6, also has one vote. One of the two members from each county is the County Engineer. A second member is a city engineer or city administrator from a city with a population of over 5,000 people. A third member from each county represents Transportation Alternatives Program (TAP) interests. The two non-voting (ex officio) members on this committee represent the State of Iowa Department of Transportation and the Marshalltown Municipal Transit, the only municipal transit system serving the Region.

Transportation Technical Committee	Name	Title	County, City or Agency Represented
Voting Member	Taylor Roll	County Engineer	Hardin County
Voting Member	Jody Anderson	City Administrator	City of Iowa Falls (Hardin County)
Voting Member	Paul Geilenfeldt	County Engineer	Marshall County
Voting Member	Justin Nickel	Public Works Director	City of Marshalltown, Marshall County
Voting Member	Lyle Brehm	County Engineer	Tama & Poweshiek Counties
Voting Member	Russ Behrens	City Administrator	City of Grinnell, Poweshiek County
Voting Member	Doug Ray; Brian Sokol	Mayor of Tama; Mayor of Toledo	Tama/Toledo urbanized area (1 vote), Tama County
Voting Member	Marty Wymore	Director	Region 6 Transit/Peoplerides
Non-Voting (ex officio)	Andy Loonan	IA DOT	State of Iowa
Non-Voting (ex officio)	Kevin Pigors	Municipal Transit Director	Marshalltown Municipal Transit
Non-Voting (ex officio)	Rita Schoenman	Grant Writer	Meskwaki Tribe

Region 6 Staff

Region 6 staff also plays a key role in developing effective regional strategies. Region 6 benefits from a creative and talented staff with extensive experience in transportation planning, regional transit operations, project management, and grant administration. Region 6 staff stays abreast of project challenges and new potential projects that are a good fit for the regional program.

Other Representation

The Meskwaki Settlement is included on Region 6 Transportation Planning mailing lists. They are informed about meeting agendas and application deadlines. Periodically Region 6 staff consults with Meskwaki staff to discuss upcoming projects.

III. Description of Planning Activities/Work Elements

1. Transportation Planning Work Program (TPWP)

- Objective:** To ensure that all required transportation planning documents are completed and submitted to the IDOT in a timely manner.
- Previous Work:** Development and adoption of FY2021 TPWP. TPWP for FY2021 was adopted by resolution on April 29, 2019 and submitted to IDOT, FHWA, and FTA.
- Project Description:** The Transportation Planning Work Program is an annual document that requires the approval of the Region 6 Planning Board of Directors. This planning document is prepared in one fiscal year for the following fiscal year.
- During FY 2021, a draft TPWP for the coming FY 2022 will be developed and reviewed by the Transportation Committee prior to full recommendation to the Board of Directors. Comments from the Transportation Committee will be forwarded to the Board of Directors.
- No public hearing is required for TPWP approval, but the public is allowed to provide comments at the Board of Directors and Transportation Committee meetings concerning the TPWP. If the total aggregate TPWP budget changes more than 10%, it also requires approval of the Board of Directors.
- Product:** Transportation Planning Work Program FY 2022.
- Completion:** A draft for FY 2022 will be prepared and submitted to IDOT, FHWA, and FTA for approval on or before April 1, 2021. All comments from the IDOT, FHWA and FTA will be addressed, and a final document will be presented to the Region 6 policy board for approval and adoption. It is anticipated that the final version of the FY 2021 TPWP will be submitted on or before June 1, 2021.

2. Transportation Improvement Program (TIP)

- Objective 1:** Maintain and review/revise 2020-2023 TIP projects as needed.
- Previous Work:** During FY 2020, Region 6 Resource Partners has worked with engineers, cities and counties to implement the projects programmed in the 2020-2023 TIP and made appropriate revisions to the projects in TPMS. Region 6 staff monitored projects with local federal aid funds to maintain fiscal constraint.
- Region 6 staff maintains a running spreadsheet of local federal aid projects. Projects included in the Transportation Improvement Program are monitored to ensure that they are fiscally responsible and completed on a timely schedule. The TIP is reviewed and updated annually. Region 6 staff schedules all meetings and provides minutes of same for the Transportation Committee.

Project Description: Region 6 staff will continue to work with engineers, cities and counties to maintain the FY 2020-2023 TIP. Region 6 staff maintains a running spreadsheet of local federal aid projects. Projects included in the Transportation Improvement Program are monitored to ensure that they are fiscally responsible and completed on a timely schedule. The TIP is reviewed and updated annually. Region 6 staff schedules all meetings and provides minutes of same for the Transportation Committee.

Product: Project completion and fiscal constraint of FY2020-2023 Region 6 TIP.

Completion: 9/30/2020

Objective 2: Develop, obtain final approval, and maintain TIP projects for FY2021-2024. The TIP will be a fiscally responsible program of projects that will accomplish the Regional Transportation Planning Expectations of the Iowa DOT.

Previous Work: Region 6 will follow the procedures that have been established for TIP program development as described below. These procedures are the same each year.

Project Description: Region 6 staff works with region communities to solicit, monitor, and assist in implementation of regional transportation and transportation alternative projects. Region 6 utilizes TPMS database for TIP Program development to achieve consistency and increase reliability in its planning efforts. An annual Transportation Improvement Program of projects for the succeeding four years will be developed with the Regional Transportation Planning Expectations of the Iowa DOT as a guide.

Early in the calendar year a meeting of the Transportation Committee is held to review status of projects. Minutes of the previous meeting are read and approved. A deadline in March or April is set as well as a date for the next meeting shortly thereafter to discuss applications and develop the program for the next 4 years. A request for proposals with attached application is emailed to members of the Transportation Committee, cities, counties, trail committees, and any other interested parties. Region 6 staff compiles the information included in the TIP and update in TPMS. Region 6 staff publishes notice of a public policy board meeting in which the draft TIP is on the agenda for adoption in, and the final Region 6 TIP is submitted.

Final approval of the FY 2021-2024 TIP is expected to be obtained by 7/1/2020 and maintenance of that TIP will occur through 9/30/21.

Product: Well-maintained, fiscally constrained transportation program of FY 2021-2024 TIP.

Completion: Continuous work through completion of projects.

Objective 3: Develop a draft TIP for FY 2022-2025. The TIP will be a fiscally responsible program of projects that will accomplish the Regional Transportation Planning Expectations of the Iowa DOT.

Previous Work: Region 6 will follow the procedures that have been established for TIP program development as described below. These procedures are the same each year.

Project Description: Region 6 staff works with region communities to solicit, monitor, and assist in implementation of regional transportation and transportation alternative projects. Region 6 utilizes TPMS database for TIP Program development to achieve consistency and increase reliability in its planning efforts. An annual Transportation Improvement Program of projects for the succeeding four years will be developed with the Regional Transportation Planning Expectations of the Iowa DOT as a guide.

Early in the calendar year a meeting of the Transportation Committee is held to review status of projects. Minutes of the previous meeting are read and approved. A deadline in March or April is set as well as a date for the next meeting shortly thereafter to discuss applications and develop the program for the next 4 years. A request for proposals with attached application is emailed to members of the Transportation Committee, cities, counties, trail committees, and any other interested parties. Region 6 staff compiles the information included in the TIP and update in TPMS. Region 6 staff publishes notice of a public policy board meeting in which the draft TIP is on the agenda for adoption in, and the final Region 6 TIP is submitted.

Product: Well-maintained, fiscally constrained transportation program of FY 2022-2025 TIP.

Completion: Continuous work through completion of projects. A draft TIP for FY 2022-2025 TIP is expected to be developed by 6/15/21.

3. Transportation Planning: LRTP, PPP, and Transportation Planning – General

Objective 1: **Long-Range Transportation Plan (LRTP).** Ensure the planning activities correspond to the Plan and that the Plan remains relevant to changing needs and opportunities. The LRTP is a twenty-year planning document that will be reviewed annually with the Region 6 policy board, and revised every five years. The current LRTP includes the years 2014-2034.

Previous Work: In FY2020, Region 6 staff largely update the LRTP. That updated document will be approved by the policy board on or before October 1, 2020. We have actively sought input from most of the cities and counties over the region through in-person interviews. Surveys and other things have been also done to obtain public input. The goal now is analyze that data, and other public data to develop goals

and action items. The current LRTP was adopted by the Region 6 Policy Board on February 24, 2014. The LRTP is posted on the Region 6 Resource Partners website at www.region6planning.org.

Project Description: Complete the LRTP in the first 3-4 months of FY 2021. Monitor whether other improvements are needed over the remainder of FY 2021.

Product: Long Range Transportation Plan 2021-2040.

Completion: Ongoing. A full update of the Region 6 LRTP is scheduled for completion summer-fall of 2020. This element is included in the Transportation Planning – General element of the budget, below.

Objective 2: **Public Participation Plan (PPP).** Ensure the planning activities correspond to the Plan and that the Plan remains relevant to changing needs and opportunities. The PPP is reviewed and updated as needed.

Previous Work: The PPP was last updated and adopted by the Region 6 Policy board on April 27, 2015. A copy of the PPP is provided to the Policy Board and posted on the Region 6 Resource Partners website at www.region6planning.org.

Project Description: During FY 2021, Region 6 Planning staff will review the PPP and update as needed ahead of the Long Range Transportation Plan Update. Public participation/input will continue to be a key component of all planning documents. The PPP is reviewed on a regular basis as it is adhered to when completing the TIP, LRTP, PTP and other projects.

Product: Current and relevant Public Participation Plan.

Completion: Review annually. As there have not been any changes to the PPP document resulting from previous annual reviews by the policy board, the PPP is not listed as a separate planning element in the TPWP budget. The review is done as a part of the general Transportation Planning process. See budget below.

Objective 3: **Transportation Planning – General.** Maintain current transportation systems (roads, transit, airports, etc.). Develop improved highways to encourage economic development, reduce congestion, and improve safety.

Previous Work: Assisted with the development of regional projects.

Project Description: Implement goals contained in Region 6 Long-Range Transportation Plan. Encourage public participation in planning and programming to reflect local needs.

Product: N/A.

Completion: Ongoing.

Objective 4: **Transportation Planning – General.**

Previous Work: Participated in Highway 30 Coalition and passenger rail advocacy group discussions and activities.

Project Description: Continue to participate in passenger rail advocacy group discussions. Continue to participate in Highway 30 Coalition advocacy group activities.

Product: N/A.

Completion: Ongoing.

Objective 5: **Transportation Planning – General.** Encourage transportation planning as an integral part of comprehensive and land use planning of local jurisdictions.

Previous Work: Recent comprehensive planning in the region completed or assisted by Region 6 Resource Partners staff have included transportation elements. Goals and projects related to transportation, trail and recreational amenities, and transit opportunities were also included in the Comprehensive Economic Development Strategy adopted in 2018.

Project Description: Region 6 Resource Partners will continue to encourage its communities and counties to create or update existing comprehensive/land use plans according to smart growth principles. It is the goal of Region 6 transportation planning to emphasize transportation systems, including all modes of transportation (e.g. transit and trail projects) in all comprehensive and land use plans. Goals and projects related to transportation, trail and recreational amenities, and transit opportunities will also be included in the current Comprehensive Economic Development Strategy (CEDs).

Product: Comprehensive/Land Use Plans and CEDs plan for the region's communities and counties, including all modes of transportation and transit planning policy and activities.

Completion: Ongoing

Objective 6: **Transportation Planning – General.** Perform transportation-related program maintenance. Compile data and prepare transportation planning quarterly reports and reimbursement requests; attend statewide quarterly transportation meetings; review and/or participate in state transportation initiatives. Maintain budget.

Previous work: Compiled work hours and costs data per work element and prepared appropriate transportation planning quarterly reports and reimbursement requests. Attended statewide quarterly meetings and/or teleconferences. Responded to information

requests by DOT and/or FHWA; reviewed funding opportunities; maintained budget.

Project Description: Continue as listed above – will compile data, prepare reports and reimbursement requests as scheduled. Will attend quarterly meetings, complete surveys, review and comment on state planning efforts, provide information requested by state DOT and/or FHWA, review funding opportunities, and maintain Region 6 budget.

Completion: Reimbursement reports and requests are ongoing. Budget maintenance is ongoing. Review of funding opportunities and response to information requests is ongoing. Transit manager and staff attend annual transportation summit in Ankeny. Staff will continue to attend DOT quarterly meetings and webinars.

4. Trail Usage Counting

Objective 1: Assist trail groups around the region in obtaining trail usage counts.

Previous Work: Purchased 17 infrared counters in 2017 for use on recreational trails in Grinnell, Marshalltown, Marshall County, Iowa Falls, and Hardin County. Region 6 staff assisted with placing the equipment in the mounting boxes, retrieving data, and retrieving equipment at the end of the year. Region 6 Resource Partners will own and insure the equipment, including the equipment boxes and locksets.

Project Description: Continue to assist with placement and maintenance of equipment and retrieval of data and equipment. Continue to interpret data.

Product: Trail use counts and maintenance.

Completion: Ongoing.

5. Transit Planning: Passenger Transportation Plan

Objective 1: **Implement the current five-year Passenger Transportation Plan.**

Previous Work: The current 5-year PTP was developed in 2018-2019 and adopted during FY 2019. This plan included participation by community members from all four of the Region 6 counties through countywide public input meetings and an online survey. The survey was also printed out for distribution to Peoplerides drivers and staff, seniors, clients of participating social service agencies, and anyone who requested one. The PTP assesses current and future transit needs and identifies potential transit-related projects and activities. It also reviews data gathered over the preceding years. Information regarding transit inventories and transportation needs was assembled and documented by the Region 6 Resource Partners staff. Region 6 Resource Partners and Peoplerides staff is involved in regular monthly or quarterly county-level passenger transportation discussions around the region.

Region 6 transit staff participates in existing human service oriented group discussions.

Region 6 submitted a draft PTP on February 1, 2019 and a final PTP on May 1, 2019. Region 6 will submit a document listing notes of meetings attended on or before July 31, 2020 deadline.

Project Description: The final updated PTP was submitted on May 1, 2019. The updated PTP contains 14 goals that will require research, coordination with stakeholders, grants, local funding, and local support for implementation. These goals were created as a result of local and partner input and local knowledge of the gaps in service and possible unmet needs.

Region 6 planning staff will provide notes of meetings attended to the Systems Planning Bureau by July 31st of 2020. In lieu of monthly or quarterly regional TAG meetings, Peoplerides transit director and staff will attend local and regional social services group meetings that include passenger transportation discussions and attend WARTS (Western Alliance Regional Transit Systems) meetings. Region 6 planners and Peoplerides transit director and staff will hold at least one TAG public input forum. Region 6 planners and Peoplerides transit director and staff will attend and participate in the annual Transportation Summit held each spring in Ankeny, Iowa.

Product: Implementation of the current PTP will take place during FY 2020. Meeting minutes will be submitted annually on or before July 31, 2020.

Completion: Ongoing.

Objective 2: **Transit Planning – General.** Objectives include:

- Increase ridership by making passenger transportation services more attractive and more accessible.
- Assist disabled people with access to jobs and needed services.
- Assist elderly and general public access to needed services.
- Provide affordable and available rides.
- Assist elderly and general public access to critical services – meal sites, grocery stores.
- Provide services that allow elderly to remain independent and in the home.

Previous Work: Region 6 worked to develop more scheduled routes with service on a consistent day of the week. Region 6 worked to maintain and improve the existing fleet and update vehicles and equipment. Region 6 continued its effort to discover and address unmet transit needs through innovative methods. The regional transit system pursued funding sources to provide safe, efficient, and convenient services.

Project Description: Region 6 will continue to pursue enhanced services and increased funding for Peoplerides. Region 6 will continue to be proactive in enhancing existing rural transit services, such as adding regularly-scheduled trips from smaller communities to employment centers and health care facilities.

Region 6 will continue to increase its marketing efforts.

Region 6 will attempt to expand its hours of service.

Region 6 will continue to coordinate the existing vehicle fleet and to address unmet transit needs through innovative methods. Funds for new vehicles will be sought.

Product: Enhanced transit services and increased efficiencies.

Completion: Ongoing. All six items of this objective are a high priority for Region 6/Peoplerides. During FY 2021, Region 6 will continuously look for opportunities to, and work toward, enhancing transit services and increasing efficiency.

Objective 3: **Transit Planning – Communications Plan and Service Assessment.**

Previous Work: Region 6 strives to provide the best transit services within its service area through transit planning, transportation planning, and other efforts.

Project Description: To better chart progress and develop long-term organizational goals, Region 6 would like to pursue the following enhancements:

- Create and implement a communications strategy, increase marketing efforts
- Update Peoplerides brochures
- Start an email distribution list
- Improve website to provide more information to current and prospective riders
- Inventory organizations in service area regarding needs, current level of partnership
- Reach out to other RPAs and learn more about their prices, operational funding and makeup of ridership
- Assess driver and staff capacity, local resources, to expand service
- Identify long-term organizational goals to increase ridership and offer needed services

Product: More targeted marketing strategy, better understanding of other RPA operations, identification of long-term organizational goals

Completion: Ongoing.

6. Transportation Alternatives Planning Assistance

- Objective 1:** Increase Region 6 Resource Partners' role in public health and public safety commitment. Recreational trails provide public health, transportation, and many other benefits. Increasing modal opportunities is a key goal of the transportation planning process.
- Previous Work:*** Region 6 staff actively participates in the planning of local and regional trail systems in the region. Region 6 has supported public health and public safety through its trails funding. Region 6 has advocated for public policy changes that will increase recreational activities and safety for pedestrians and bicyclists. Region 6 has assisted community efforts in trail development and grant writing support for funding opportunities. Region 6 has actively recruited communities in the four-county area to develop Safe Routes to School programs and to apply for Safe Routes grant funding.
- Project Description:*** Design and implement public health and public safety initiatives. Region 6 will continue to assist communities in applying for trail funding. Region 6 will continue its efforts to improve conditions for bicycling and walking in the region. Region 6 staff will continue to participate in trail planning and implementation.
- Product:*** Increased public health and public safety through pedestrian/bike trails; enhanced community facilities.
- Completion:*** Ongoing.

IV. Project Budget and Funding Sources

Budget Summary

Activity Work Element - 2021	FTA 5305e Carryover	New FTA 5305e	FHWA Carryover	FHWA STPBG Carryover	Local Match	Total
Work Program	\$ 561	\$ 154	\$ 256	\$ 94	\$ 266	\$ 1,332
TIP	\$ 9,357	\$ 2,563	\$ 4,275	\$ 1,565	\$ 4,440	\$ 22,200
Transportation Planning	\$ 13,099	\$ 3,589	\$ 5,985	\$ 2,191	\$ 6,216	\$ 31,080
Trail Counting	\$ 1,871	\$ 513	\$ 855	\$ 313	\$ 888	\$ 4,440
Transit Planning	\$ 22,456	\$ 6,152	\$ 10,260	\$ 3,757	\$ 10,656	\$ 53,280
TAP Assistance	\$ 6,457	\$ 1,769	\$ 2,950	\$ 1,080	\$ 3,064	\$ 15,320
TOTAL	\$ 53,800	\$ 14,739	\$ 24,581	\$ 9,000	\$ 25,530	\$ 127,650

Expenses

Expenses	
Salaries	\$ 71,200
Benefits	\$ 24,240
Travel	\$ 2,000
Legal Publications	\$ 210
Indirect Admin	\$ 30,000
Total	\$ 127,650

Project Expenses by Quarters

Qtr 1	\$ 38,295
Qtr 2	\$ 19,148
Qtr 3	\$ 38,295
Qtr 4	\$ 31,913
Total	\$ 127,650

Cost by Activity

Cost by Activity	Employee Hours	Cost
Work Program	30	\$ 1,332
TIP	500	\$ 22,200
Transportation Planning	700	\$ 31,080
Trail Counting	100	\$ 4,440
Transit Planning	1200	\$ 53,280
TAP Assistance	345	\$ 15,318
TOTAL	2875	\$ 127,650

Project Staffing

Region 6 Resource Partners will utilize existing staff to meet the objectives in this Work Program. Primary project staff will include:

- Marty Wymore, Executive Director
- Julie Winter, Planning Director
- Mark Newberg, Housing Director (trail counter work)
- LeAnn Lynch, Peoplerides Transit Director
- Amy Pigors, Peoplerides & Planning Project Assistant

Project staff will work together to coordinate and accomplish the projects as outlined in this Work Program.

V. Resolution/Board Approval

A finalized TPWP will be presented to the Region 6 policy board for approval and adoption.

VI. Additional Required Items

A. Cost allocation methodology.

See attached *Region 6 Resource Partners Administrative Cost Allocation Plan*.

B. Disadvantaged Business Enterprise (DBE) information

In an effort to support the local economy and maintain good relations with local vendors for emergency needs, Region 6 Resource Partners purchases locally, where possible. In most instances, this is also the most cost-effective. Where appropriate, Region 6 encourages vendors to become DBE certified. Region 6 Resource Partners periodically reviews the DBE certified list to determine if there are available contracting opportunities for Region 6 Resource Partners services. If there are opportunities, Region 6 Resource Partners will solicit quotes or information from IDOT Certified DBE firms.

VII. Description of TPWP Revisions and Approval Procedures

Overview

2 CFR 200 describes the uniform administrative rules for Federal grants and cooperative agreements and subawards to State, local and Indian tribal governments. These requirements apply to metropolitan planning (PL) and State Planning and Research (SPR) grants. FTA has similar requirements documented in FTA Circular 5010.1C, which apply to FTA metropolitan planning grants. Iowa uses a Consolidated Planning Grant where FHWA and FTA planning funds are combined into a single fund managed through FTA's TrAMS system. The uses of these funds are documented in the work programs of the Iowa DOT, MPOs, and RPAs.

Waiver of approvals

All work program changes require prior written Federal approval, unless waived by the awarding agency. [2 CFR 200.308](#) outlines different types of revisions for budget and program plans, and this [FHWA memo on prior approvals](#) summarizes revisions that require prior Federal approval, as well as other miscellaneous actions and allowable costs that require prior Federal approval.

Types of TPWP revisions that require Federal approval include, but are not limited to, the following:

- Request for additional Federal funding.
- Transfers of funds between categories, projects, functions, or activities which exceed 10% of the total work program budget when the Federal share of the budget exceeds \$150,000.
- Revision of the scope or objectives of activities.
- Transferring substantive programmatic work to a third party (consultant).
- Capital expenditures, including the purchasing of equipment.
- Transfer of funds allotted for training allowances.

Types of revisions that require Iowa DOT approval include:

- Transfers of funds between categories, projects, functions, or activities which do not exceed 10% of the total work program budget, or when the Federal share of the budget is less than \$150,000.

Types of revisions that require MPO/RPA approval include:

- Revisions related to work that does not involve federal funding.

Revision and Approval Procedures

- All revision requests from MPOs and RPAs should be submitted electronically to the Iowa DOT Systems Planning Bureau and the agency's District Planner. If all necessary information is provided, the request will then be forwarded to the FHWA and FTA for review and any necessary approvals.
 - Revision requests shall, at a minimum, include:
 - A resolution or meeting minutes showing the revision's approval.
 - Budget summary table with changes highlighted/noted.
 - Modified section(s) of the plan's work elements with changes highlighted/noted.
- Revisions where **FHWA/FTA** is the designated approving agency shall require written approval by FHWA/FTA prior to commencement of activity, purchasing of equipment, or request for reimbursement.
- Revisions where the **Iowa DOT Systems Planning Bureau** is the designated approving agency shall require written approval by the Iowa DOT Systems Planning Bureau prior to commencement of activity or request for reimbursement.
- Revisions where the **MPO or RPA** is the approving agency shall be approved by the Policy Board.
- Notification by the approving agency will be in writing.

NOTE: All necessary TPWP approvals shall be in place prior to the commencement of activity, purchasing of equipment, or request for reimbursement. More specifically in regards to the procurement of equipment and services, there should be no notification of award, signed contract, placement of an order, or agreement with a contractor prior to receiving the necessary TPWP approvals.

Region 6 Planning Commission (DBA Region 6 Resource Partners – Peoplerides) (42-1027105)

903 E Main Street

Marshalltown, IA 50158

641-752-0717 telephone, 641-752-9857 fax

Contact Person: Marty Wymore, Executive Director **Email:** mwymore@Region6resources.org

A. INTRODUCTION

Region 6 Planning Commission is a state/local government located in Marshalltown, Iowa. The Organization administers a variety of programs funded by Federal, State, and Local agencies. We are organized as a local government agency by Chapters 28E and 28H of the Code of Iowa. Our service area is Hardin, Marshall, Poweshiek, and Tama Counties in Iowa. We have no taxing authority upon our service area. Some of the programs we administer include–

- Public Transit operations – Peoplerides,
- Regional Planning Association for transportation functions,
- Economic Development Administration economic development district,
- Economic Development Administration revolving loan fund,
- Administer Community Development Block Grants and HOME grants for local cities and counties, and
- Regional planning for disasters, housing, and community development.

B. COST ALLOCATION METHODOLOGY

This proposal is for a Cost Allocation Plan (Plan) to cover the period beginning July 1, 2017 and ending June 30, 2018. This proposal is based on the Organization's actual costs reconcilable to the audited financial statements for its fiscal year ending June 30, 2016. The status of our cost allocation plan is (please select one):

☐

(a) This is our initial request for the approval of our cost allocation plan. None of the federal agencies have ever officially approved of our cost allocation plan.

☐

(b) We have received an official written approval of our cost allocation plan from Department of <XYZ> Federal funding agency (other than National Business Center, Department of Interior).

☐

(c) We have received an official written approval of our cost allocation plan from National Business Center, Department of the Interior.

☒

(d) We have not received a Federal official written approval of our cost allocation plan. We have only received approval from the Iowa Department of Transportation in prior years.

This proposal addresses all elements of cost incurred by the Organization and identifies shared costs that require allocation. The Organization treats all costs as direct costs except general administration. Joint costs are prorated individually as direct costs to each category and to each award using a base most appropriate to the particular cost being prorated. Therefore, the direct allocation method has been used in allocating indirect costs. Organization maintains adequate internal controls to insure that no cost is charged both directly and indirectly to Federal contracts or grants.

C. DIRECT COSTS

Direct costs are costs that can be identified specifically with a project and therefore are charged to that project. The accounting system records these costs as they are incurred within the series of accounts assigned for that purpose. Further distribution is not required.

D. INDIRECT COSTS

Indirect costs are costs incurred for common or joint objectives and therefore cannot be readily and specifically identified with a particular project or activity. Indirect costs are (please select one)

☐

(a) direct charged to all programs and grants, or

☒

(b) grouped into common pool(s) and then distributed to benefiting activities by a cost allocation process.

☐

(c) none of the above. Indirect costs are (fill in the blank).

E. COST POOL AND BASE FOR DISTRIBUTION

The Organization has created an Administrative Services (Indirect) Pool consisting of salaries, fringe benefits, and other costs. The Administrative Services (Indirect) Pool is charged with all the indirect costs as defined above. The pool is distributed quarterly to the various program activities on the basis of administrative employee hours for the different direct projects for that quarter. Each category of non-salary expenditures has been analyzed based on the facts, and the **Elements of Cost (Schedule A)** explains the allocation between Direct and Administrative Services (Indirect) Costs.

There also is an allocated planning administrative cost area. This area consists of planning telephone charges, planning employee insurance related costs, and any other miscellaneous costs related to several planning service areas. This area is charged with all the costs defined before. The pool is distributed quarterly to the various planning program areas on the basis of planning administrative employee hours.

F. SUPPORTING FINANCIAL STATEMENTS, SUMMARY SCHEDULE AND UNALLOWABLE COSTS

NOTE: Organization must include a complete copy of the audited financial statements with their proposal submission.

The **Schedule of Total Expenditures (Schedule B)** contained in this proposal agrees with the Organization's audit report and/or Statement of Functional Expenses. Any differences must be explained.

Unallowable and Excludable Costs – The following costs have been excluded from the allocation process: bad debt and any other un-allowed cost. We do not typically have these other non-allowed costs.

G. DESCRIPTION OF ACCOUNTING SYSTEM

The Region 6 Planning Commission uses a modified accrual system of accounting. Under the modified accrual basis of accounting, revenues are recognized when susceptible to accrual (i.e. when they become both measurable and available). "Measurable" means the amount of the transaction can be determined, and "available" means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. A one-year availability period is used for revenue recognition for all federally-funded revenue. Expenditures are recorded when the related liability is incurred.

We have not made any significant changes during the proposal fiscal year to our accounting system. For calendar year 2016, the US Economic Development Administration required us to follow a fixed allocation process with yearend adjustments.

H. INDIRECT SALARIES

Listed below are the positions, functions, and annual salaries for the people who comprise the Administrative Services Pool. These positions are charged the applicable percentage to Administrative Services. The costs shown below are the anticipated (budget) levels for the 7/1/20-6/30/21 period.

Position and % Indirect	Function	Salary	
Executive Director (10%)	General management	\$	78,413.00
Administrative Support (90%)	Accounting	\$	46,761.00
Total Administrative Service Pool Salaries		\$	125,174.00

* If the indirect percentage for any employee is less than 100%, please include a description of the state entity's timekeeping system to support compliance with the standards of 2 CFR 225 Appendix B Subsection 8.h.(4). Also, please provide a recently completed time sheet.

I. INDIRECT FRINGE BENEFITS

Fringe benefits associated with the positions within the Administrative Services (Indirect) Pool are as follows:

Payroll taxes:			
	Social Security (6.2%)	\$	7,760.79
	Medicare (1.45%)	\$	1,815.02
	State Unemployment (0.1%)	\$	125.17
	IPERS - retirement (9.44%)	\$	11,816.43
	Total Payroll Taxes (17.19%)	\$	21,517.41

The Organization's fringe benefit policies should be included with initial proposal submission and only when updated thereafter. The costs shown below are the anticipated (budget) levels for the 7/1/20-6/30/21 period.

J. SCHEDULE OF FINANCIAL ASSISTANCE

See Schedule C.

K. ORGANIZATION CHART

See Schedule D

L. COST ALLOCATION PLAN CERTIFICATION

See Attached

SCHEDULE A – Elements of Cost

Elements of Cost	Allocation	
(matches schedule B)	Methodology	Item Description
Contract labor	Indirect	Region 6 office cleaning
Driver: Vacation, holiday, sick	Direct	Vacation, holiday, sick for all transit drivers
Admin: Vacation, holiday, sick	Indirect	Vacation, holiday, sick for all administrative employees
Contract accounting	Indirect	Payroll processing services
Audit	Indirect	Audit services
Pre-audit	Indirect	Making year end adjustments prior to audit
Loan payment	Indirect	Loan payment on Region 6 building
Office supplies	Direct/Indirect	Indirect - General office supplies, computer repairs, copier lease; Direct - project specific items
Postage	Indirect	General postage
Legal	Indirect	Legal services
Business insurance	Direct/Indirect	Indirect - Liability and errors & omissions insurance, Direct - vehicle related
Telephone	Direct/Indirect	Indirect - General telephone lines, Direct - long distance & toll free
Legal publications	Direct/Indirect	Indirect - Publication of meeting minutes and bill list, Direct - project specific
Membership	Project hours	Membership in the Iowa Association of Regional Councils
Marketing	Direct/Indirect	Indirect - annual report, Direct - project specific
Travel	Direct/Indirect	Indirect - applicable to multiple projects, Direct - project specific
Fuel	Direct	Transit vehicle fuel
Repairs & maintenance	Direct	Transit vehicle repairs
Vehicle insurance	Direct	Transit vehicles insurance
Training, recruiting	Direct	All Region 6 training & recruiting
Miscellaneous	Direct	Newspapers, Iowa Public Transit Membership, county recorder fees, housing dust tests
Bad debt	Direct	Transit customer non-payment
Vehicle replacement reserve	Direct	\$0.07/mile set aside to replace vehicles
Utilities	Indirect	Gas, electric, water, and sewer services

Schedule B

Direct Programs & Activities Anticipated for 7/1/20-6/30/21

ELEMENTS OF COST	7/1/20-6/30/21								
	BUDGET EXPENSES	UNALLOWED COSTS	PUBLIC TRANSIT	EDA	RPA PLANNING	HOUSING	CDBG ADMIN	GENERAL ADMIN	
Admin salaries & wages	\$ 403,672	\$ -	\$ 155,492	\$ 77,855	\$ 70,821	\$ 49,704	\$ 6,888	\$ 42,912	
Driver salaries & wages	\$ 353,653		\$ 353,653						
Transit overtime	\$ -		\$ -						
Fringe benefits	\$ 306,359		\$ 240,707	\$ 11,321	\$ 10,304	\$ 7,403	\$ 1,002	\$ 35,623	
Subtotal labor & fringes	\$ 1,063,685	\$ -	\$ 749,852	\$ 89,176	\$ 81,125	\$ 57,107	\$ 7,890	\$ 78,535	
Contract labor	\$ 7,100					\$ 5,100		\$ 2,000	
Driver: Vacation, holiday, sick	\$ -		\$ -					\$ -	
Admin: Vacation, holiday, sick	\$ 15,000							\$ 15,000	
Contract accounting	\$ 6,600							\$ 6,600	
Audit	\$ 17,000							\$ 17,000	
Pre-audit	\$ 4,000							\$ 4,000	
Loan payment	\$ -							\$ -	
Office supplies	\$ 25,617		\$ 10,517					\$ 15,100	
Postage	\$ 2,937						\$ 100	\$ 2,837	
Legal	\$ 500							\$ 500	
Business insurance	\$ 6,200							\$ 6,200	
Telephone	\$ 19,192		\$ 14,119			\$ -		\$ 5,073	
Legal publications	\$ 300		\$ -		\$ 100	\$ 100		\$ 100	
Membership	\$ 3,900		\$ -					\$ 3,900	
Marketing	\$ 5,834		\$ 2,084					\$ 3,750	
Travel	\$ 18,721		\$ 1,296	\$ 6,000	\$ 2,000	\$ 6,000	\$ 2,500	\$ 925	
Fuel	\$ 77,246		\$ 77,246						
Repairs & maintenance	\$ 85,893		\$ 85,893						
Vehicle insurance	\$ 28,933		\$ 28,933						
Training, recruiting	\$ 7,330		\$ 3,630	\$ 2,000		\$ 1,500	\$ 200		
Miscellaneous	\$ 2,100					\$ 900	\$ 200	\$ 1,000	
Bad debt	\$ 675	\$ 675	\$ -						
Vehicle replacement reserve	\$ 20,332		\$ 20,332						
Vehicle replacement	\$ 150,000		\$ 150,000						
Utilities	\$ 5,875		\$ -					\$ 5,875	
Total services	\$ 511,285	\$ 675	\$ 394,050	\$ 8,000	\$ 2,100	\$ 13,600	\$ 3,000	\$ 89,860	
Total Region 6	\$ 1,574,969	\$ 675	\$ 1,143,901	\$ 97,176	\$ 83,225	\$ 70,707	\$ 10,890	\$ 168,395	

Schedule C
FY 2020 Salary & Benefits
07/01/2020 - 06/30/2021

		STATE								
		SOCIAL SECURITY -			UNEMPLOYMENT -			WORKERS		
POSITION	salary/period	ANNUAL SALARY	6.2%	MEDICARE - 1.45%	0.1%	IPERS - 9.44% (employer)	HEALTH INSURANCE	LIFE INSURANCE	COMPENSATION	
<u>Salaried Positions</u>										
Executive Director, Wymore	\$ 3,015.88	\$ 78,413.00	\$ 4,861.61	\$ 1,136.99	\$ 78.41	\$ 7,402.19	\$ 8,688.00	\$ 240.00	\$ 666.51	
Planning Director, Winter	\$ 2,115.38	\$ 55,000.00	\$ 3,410.00	\$ 797.50	\$ 55.00	\$ 5,192.00	\$ 8,688.00	\$ 240.00	\$ 467.50	
Housing Director, Newberg	\$ 1,956.92	\$ 50,880.00	\$ 3,154.56	\$ 737.76	\$ 50.88	\$ 4,803.07	\$ 8,688.00	\$ 240.00	\$ 432.48	
Transit Manager, Lynch	\$ 2,047.23	\$ 53,228.00	\$ 3,300.14	\$ 771.81	\$ 53.23	\$ 5,024.72	\$ 8,688.00	\$ 240.00	\$ 452.44	
Assistant Transit Manager, Kenney	\$ 1,384.62	\$ 36,000.00	\$ 2,232.00	\$ 522.00	\$ 36.00	\$ 3,398.40	\$ 8,688.00	\$ 240.00	\$ 252.00	
Dispatcher, Burgess	\$ 1,440.00	\$ 37,440.00	\$ 2,321.28	\$ 542.88	\$ 37.44	\$ 3,534.34	\$ 8,688.00	\$ 240.00	\$ 324.98	
Dispatcher, Lamb	\$ 1,360.00	\$ 35,360.00	\$ 2,192.32	\$ 512.72	\$ 35.36	\$ 3,337.98	\$ 8,688.00	\$ 240.00	\$ 229.84	
Administrative Support, Fuller	\$ 1,798.50	\$ 46,761.00	\$ 2,899.18	\$ 678.03	\$ 46.76	\$ 4,414.24	\$ 8,688.00	\$ 240.00	\$ 303.95	
Total Admin		\$ 393,082.00	\$ 24,371.08	\$ 5,699.69	\$ 393.08	\$ 37,106.94	\$ 69,504.00	\$ 1,920.00	\$ 3,129.69	
<u>Hourly Positions - Drivers</u>										
	hourly wage	Estimated Hours * Wage								
Marcia Whipple	\$ 16.43	\$ 34,174.40	\$ 2,118.81	\$ 495.53	\$ 34.17	\$ 3,226.06	\$ 8,688.00	\$ 240.00	\$ 307.57	
Ronald Hildebrand	\$ 16.00	\$ 19,136.00	\$ 1,186.43	\$ 277.47	\$ 19.14	\$ 1,806.44	\$ -	\$ -	\$ 172.22	
Jo Elyn Reinertson	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Wayne Keller	\$ 16.00	\$ 19,136.00	\$ 1,186.43	\$ 277.47	\$ 19.14	\$ 1,806.44	\$ -	\$ -	\$ 172.22	
Bill Fisher	\$ 16.00	\$ 22,464.00	\$ 1,392.77	\$ 325.73	\$ 22.46	\$ 2,120.60	\$ -	\$ -	\$ 202.18	
Terry Wilkinson	\$ 16.00	\$ 15,808.00	\$ 980.10	\$ 229.22	\$ 15.81	\$ 1,492.28	\$ -	\$ -	\$ 142.27	
Donna McAllister	\$ 16.00	\$ 10,400.00	\$ 644.80	\$ 150.80	\$ 10.40	\$ 981.76	\$ -	\$ -	\$ 93.60	
Fran Campbell	\$ 16.00	\$ 12,480.00	\$ 773.76	\$ 180.96	\$ 12.48	\$ 1,178.11	\$ -	\$ -	\$ 112.32	
Marshall Sealine	\$ 16.00	\$ 30,893.00	\$ 1,915.37	\$ 447.95	\$ 30.89	\$ 2,916.30	\$ -	\$ -	\$ 278.04	
Kim Shutts	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Hallie Wiese	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Henry Seiler	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Christine Schuett	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Andy Williams	\$ 16.00	\$ 33,280.00	\$ 2,063.36	\$ 482.56	\$ 33.28	\$ 3,141.63	\$ 8,688.00	\$ 240.00	\$ 299.52	
Craig Koonce	\$ 16.00	\$ 16,640.00	\$ 1,031.68	\$ 241.28	\$ 16.64	\$ 1,570.82		\$	\$ 149.76	
Total Annual		\$ 380,811.40	\$ 23,610.31	\$ 5,521.77	\$ 380.81	\$ 35,948.60	\$ 60,816.00	\$ 1,680.00	\$ 3,427.30	
TOTAL ANNUAL										
	\$ 393,082.00	\$ 773,893.40	\$ 47,981.39	\$ 11,221.45	\$ 773.89	\$ 73,055.54	\$ 130,320.00	\$ 3,600.00	\$ 6,557.00	

TOTAL REGION SIX PLANNING COMMISSION OPERATING BUDGET

	EXPENSES		REVENUE	
	20/21		20/21	
<u>Planning</u>				
Planning Dues & State COG Support	\$	-	\$	52,680.00
Grant Administration	\$	34,532.37	\$	40,000.00
Housing Assistance Administration	\$	103,902.18	\$	107,000.00
EDA Planning	\$	137,643.00	\$	70,000.00
Transportation Planning	\$	126,695.70	\$	97,120.00
PLANNING PROGRAM	\$	402,773.26	\$	366,800.00
PLANNING PROGRAM PROFIT/(LOSS)	\$	(35,973.26)		

	EXPENSES		REVENUE	
	20/21		20/21	
<u>Transit</u>				
HARDIN PROGRAMS				
Iowa Falls Demand	\$	54,229.64	\$	49,213.26
Hardin Routes	\$	101,659.92	\$	123,699.21
Hardin Medical	\$	32,114.33	\$	36,791.09
TOTAL HARDIN	\$	188,003.89	\$	209,703.55
MARSHALL PROGRAMS				
Marshalltown Medical	\$	172,601.12	\$	116,145.45
Marshall Routes	\$	86,968.92	\$	99,523.97
Marshall Rural Medical	\$	105,263.70	\$	103,697.58
MMT Paratransit	\$	11,427.72	\$	10,979.00
TOTAL MARSHALL	\$	376,261.46	\$	330,346.00
POWESHIEK PROGRAMS				
Grinnell Demand	\$	166,816.63	\$	106,267.84
Poweshiek Routes	\$	93,941.09	\$	149,342.25
Pow Rural Med	\$	18,660.87	\$	15,163.54
TOTAL POWESHIEK	\$	279,418.59	\$	270,773.64
TAMA PROGRAMS				
Tama/Toledo Demand	\$	6,917.48	\$	3,750.33
Tama Routes	\$	147,838.03	\$	208,265.78
Tama Med	\$	54,012.44	\$	44,623.89
TOTAL TAMA	\$	208,767.95	\$	256,640.01
TRANSIT OPERATING	\$	1,052,451.90	\$	1,067,463.20
TRANSIT PROFIT/(LOSS)	\$	15,011.30		

<u>Transit Capital</u>				
New Vehicles - 2 vehicles	\$	150,000.00		
FTA Grant			\$	124,500.00
Vehicle Sale (s) - 2 vehs			\$	1,000.00
TOTAL TRANSIT CAPITAL	\$	150,000.00	\$	125,500.00

TRANSIT PROGRAM	\$	1,202,451.90	\$	1,192,963.20
TRANSIT PROGRAM PROFIT/(LOSS)	\$	(9,488.70)		

REGION 6 PLANNING FY 20	\$ 1,605,225.16	\$ 1,559,763.20
--------------------------------	------------------------	------------------------

REGION 6 PLANNING FY 19 ENDING BALANCE	\$ 900,000.00
REGION 6 PLANNING FY 20 ENDING BALANCE	\$ 854,538.04

Schedule C – Schedule of Federal Funding from 6/30/19 Audit

Region 6 Planning Commission d/b/a Region 6 Resource Partners
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2019

Federal Agency/Program	Passthrough Agency	CFDA Number	Grant/Identifying Number	Amount Expended
Direct:				
U.S. Department of Commerce Support for Planning Organizations		11.302	ED16DEN3020007	\$ 70,000
Total direct awards				\$ 70,000
Indirect:				
U.S. Department of Transportation Highway Planning and Construction	Iowa Assoc. of Reg Councils	20.205	19RPA-06	32,544
Formula Grants for Rural Areas:				
Non-Urban Operating Assistance Project	Iowa Dept. of Trans.	20.509	2016-018-060-SFY19	175,689
Non-Urban Surface Transportation Project	Iowa Dept. of Trans.	20.509	04-0117-060-FY17	65,797
Subtotal:				<u>241,486</u>
Total indirect awards				<u>274,030</u>
Total federal awards				<u>\$ 344,030</u>

Schedule D – Organization chart as of May 13, 2020

REGION 6 PLANNING COMMISSION TABLE OF ORGANIZATION

Region 6 Planning Commission			
Region 6 Planning Commission Board of Directors			
Marty Wymore, Executive Director			
LeAnn Lynch, Transit Manager	Mark Newberg, Housing Director	Julie Winter, Planning Director	Pam Fuller, Administrative Support
Amy Pigors, Assistant Manager	Mike Wentzien, Housing Specialist (part time)		
Marcia Burgess, Dispatcher			
Lorinda Lamb, Dispatcher			
Drivers 7 (full time) 15 (part time)			

CERTIFICATION OF PROCUREMENT PROCEDURES



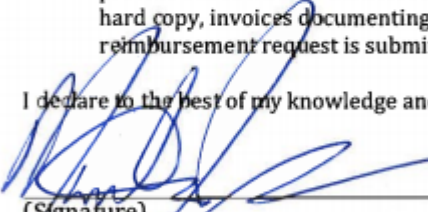
MPO/RPA Self-Certification of Procurement and Consultant Selection Procedures

This is to certify that I have reviewed the Iowa DOT Purchasing Rules (Iowa Administrative Code 761, Chapter 20) and will ensure procurements or the selection of consultant firms for projects to be reimbursed with federal transportation planning funds will follow the policies and procedures outlined in the above-referenced purchasing rules.

Further, I certify that the following requirements will be adhered to for procurements and consultant services to be reimbursed with federal transportation planning funds.

- Capital expenditures, including the purchase of equipment, will be a separate line item in an approved Transportation Planning Work Program (TPWP) if the anticipated total cost exceeds \$5,000.
- An approved TPWP will specify that a project will involve consultant services prior to initiating the consultant selection process.
- Our agency will document the procedures utilized for the procurement or consultant selection, and will retain this documentation on file for a minimum of three years.
- When reimbursement is requested for capital expenditures or consultant services, we will provide our Iowa DOT District Planner and the Systems Planning Bureau, through email or hard copy, invoices documenting the expenditure(s) at the time the associated reimbursement request is submitted.

I declare to the best of my knowledge and ability that we will adhere to the above requirements.


(Signature)

Marty Wymore
(Please Print Name)

Executive Director
(Title)

Region 6 Planning Commission
(Name of Organization)

5/27/20
(Date Signed)

(Signed by the official having the authority to initiate procurements or consultant selection for the organization or by a higher level official.)

CERTIFICATE OF INDIRECT COST PROPOSAL



Certificate of Indirect Cost Proposal/Indirect Costs

This is to certify that I have reviewed the indirect cost proposal submitted herewith and to the best of my knowledge and belief:

- (1) All costs included in this proposal dated April 30, 2020 to establish a:

☒ Cost Allocation Plan
☐ Indirect Cost Rate

For **July 1, 2020 to June 30, 2021** are allowable in accordance with the requirements of the Federal awards to which they apply and with Subpart E—Cost Principles of Part 200 as they apply to my:

☒ Governmental Organization
☐ Non-Profit Organization

- (2) This proposal does not include any costs which are unallowable under Subpart E—Cost Principles of Part 200 such as (without limitation): public relations costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, and defense of fraud proceedings; and
- (3) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the Federal awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently.

Subject to the provisions of the Program Fraud Civil Remedies Act of 1986, (31 USC 3801 et seq.), and the Department of Labor's implementing regulations, (29 CFR Part 22), the False Claims Act (18 USC 287 and 31 USC 3729); and the False Statement Act (18 USC 1001), I declare to the best of my knowledge that the foregoing is true and correct.

(Signature)

Marty Wymore
(Please Print Name)

Executive Director
(Title)

Region 6 Planning Commission
(Name of Organization)

5/27/20
(Date Signed)

(Signed by the official having the authority to negotiate indirect cost rates for the organization or by a higher level official.)